

FORM PTO-1390 (REV 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				1005-AGGUS3	
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/701195</b>	
INTERNATIONAL APPLICATION NO. PCT/US99/11500		INTERNATIONAL FILING DATE (25.05.99) 25 May 1999		PRIORITY DATE CLAIMED (28.05.98) 28 May 1998	
TITLE OF INVENTION METHOD AND ASSOCIATED APPARATUS FOR THE STANDARDIZED GRADING OF GEMSTONES					
APPLICANT(S) FOR DO/EO/US AGGARWAL, Lalit K.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendemnts has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>					
Items 11. to 16. below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> <li>- Transmittal of Letter to the United States Designated/Elected Office (DO/EO/US) by Express Mail;</li> <li>- Photocopy of Assignment document in favor of Imagestatistics, Inc., including photocopy of Recordation Cover Sheet from U.S. Application No. 09/085,797;</li> <li>- Assignee is entitled to Small Entity Status;</li> <li>- Total Fees: check in the amount of \$ 50.00; and</li> <li>- Post card receipt.</li> </ul> </li> </ol>					

U.S. APPLICATION NO. (37 CFR 1.51) <b>097701195</b>		INTERNATIONAL APPLICATION NO. PCT/US99/11500		ATTORNEY'S DOCKET NUMBER 1005-AGGUS3				
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO .....  International preliminary examination fee paid to USPTO (37 CFR 1.482) .....  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....				<b>CALCULATIONS PTO USE ONLY</b>          <div style="text-align: right; margin-right: 20px;">50.00</div>				
				ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 50.00		
				Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 0.00		
				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
				Total claims	- 20 =		X 9.00	\$ 0.00
Independent claims	- 3 =		X 40.00	\$ 0.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+	\$			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 50.00				
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$				
<b>SUBTOTAL =</b>				\$ 50.00				
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$				
<b>TOTAL NATIONAL FEE =</b>				\$ 50.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$				
<b>TOTAL FEES ENCLOSED =</b>				\$ 50.00				
				Amount to be: refunded	\$			
				charged	\$			

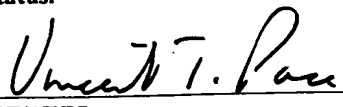
a. ☒ A check in the amount of \$ 50.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1406. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 000110  
OR  
DANN DORFMAN HERRELL AND SKILLMAN  
1601 Market Street, Suite 720  
Philadelphia, PA 19103-2307

  
 SIGNATURE: VINCENT T. PACE  
 NAME: 31,049  
 REGISTRATION NUMBER

525 Rec'd PCT/PTO 27 NOV 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER OF PATENTS  
AND TRADEMARKS

Washington, DC 20231

BOX PCT

Date of Mailing  
November 27, 2000

*Express Mail Label No.*  
*EL182471721U09/701195*

Applicant's or Agent's File Reference  
1005-AGGUS3

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

Int'l Appln. No.  
PCT/US99/11500

Int'l. Filing Date  
25 May 1999

Applicant (name)  
AGGARWAL, Lalit K.

TRANSMITTAL OF LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

**Attention: PCT International Division**

We are enclosing the following for the above-identified international application:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390);
- 2) Oath or Declaration of the inventor (photocopy from U.S. Appln. No. 09/085,797;
- 3) FIRST preliminary amendment;
- 4) Photocopy of Assignment document in favor of Imagestatistics, Inc., including photocopy of Recordation Cover Sheet from U.S. Appln. No. 09/085,797; and
- 5) Total Fees: check in the amount of \$50.00.